



## PROP 1B ACCOMPLISHMENT AND TIMELINE REPORT

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### Public Hearings

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#### **Mass Transit (60%) Public Hearing**

##### FY 2007-08 CTAF

- January 29, 2008  
California Department of Transportation, Sacramento

##### FY 2008-09 CTAF

- September 3, 2008  
California Department of Transportation, Sacramento

##### FY 2009-10 CTAF

- January 14, 2010  
California Emergency Management Agency, Mather

##### FY 2010-11 CTAF

- January 21, 2011  
California Emergency Management Agency, Mather

##### FY 2011-12 CTAF

- November 15, 2011  
California Emergency Management Agency, Sacramento

#### **Waterborne (25%) Public Hearing**

##### FY 2007-08 RPWT

- April 15, 2008  
San Francisco Bay Conservation and Development Commission, San Francisco

##### FY 2008-09 RPWT

- October 2, 2008  
San Francisco Bay Conservation and Development Commission, San Francisco

##### FY 2009-10 RPWT

- January 19, 2010  
San Francisco Bay Conservation and Development Commission, San Francisco

##### FY 2010-11 RPWT

- January 13, 2011  
San Francisco Bay Conservation and Development Commission, San Francisco

##### FY 2011-12 RPWT

- November 29, 2011  
California Emergency Management Agency, Sacramento

#### **Heavy Rail (15%) Public Hearing**

##### FY 2007-08 IPCR

- December 14, 2007  
California Emergency Management Agency, Sacramento

FY 2008-09 IPCR

- October 3, 2008  
California Emergency Management Agency, Sacramento

FY 2009-10 IPCR

- December 29, 2009  
California Emergency Management Agency, Sacramento

FY 2010-11 IPCR

- January 18, 2011  
California Emergency Management Agency, Sacramento

FY 2011-12 IPCR

- November 14, 2011  
California Emergency Management Agency, Sacramento

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**Peer Review and Project Nominations**

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**Waterborne (25%) Peer Review**

FY 2007-08 RPWT

- April 15, 2008  
San Francisco Bay Conservation and Development Commission, San Francisco

FY 2008-09 RPWT

- December 19, 2008  
San Francisco Bay Area Water Emergency Transportation Authority, San Francisco

FY 2009-10 RPWT

- February 1, 2010  
San Francisco Bay Area Water Emergency Transportation Authority, San Francisco

FY 2010-11 RPWT

- March 17, 2010  
San Francisco Bay Area Water Emergency Transportation Authority, San Francisco

FY 2011-12 RPWT

- March 7, 2012  
California Emergency Management Agency, Mather

**Heavy Rail (15%) Peer Review**

FY 2007-08 IPCR

- February 26, 2008  
California Emergency Management Agency, Sacramento

FY 2008-09 IPCR

- November 21, 2008  
California Emergency Management Agency, Sacramento

FY 2009-10 IPCR

- March 11, 2010  
California Emergency Management Agency, Sacramento

FY 2010-11 IPCR

- March 8, 2011  
California Emergency Management Agency, Sacramento

FY 2011-12 IPCR

- March 8, 2012  
California Emergency Management Agency, Mather

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## **Press Conferences**

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### **Mass Transit (60%) Press Conferences**

#### FY 2007-08 CTAF

- May 14, 2008  
San Diego Santa Fe Station
- June 12, 2008  
San Francisco Golden Gate Bridge
- July 15, 2008  
Los Angeles Union Station

### **Waterborne (25%) Press Conference**

#### FY 2007-08 RPWT

- December 12, 2008  
San Francisco Ferry Building

### **Heavy Rail (15%) Press Conferences**

#### FY 2007-08 IPCR

- April 29, 2008  
Sacramento Rail Depot
- May 02, 2008  
Glendale Metrolink Station

### **Mass Transit (60%) Press Conference Summary**

The eligible agencies for Prop1B Transit grant funds, which received awards from \$60,000,000 allocations, and funds went to San Diego Metropolitan Transit System in amount of \$2,700,000 and San Diego Association of Governments/San Diego North County Transit District in amount of \$860,494 at Cal EMA Press Conference in Southern California Region.

Cal EMA held the Prop1B Cal EMA Press Conference I for FY 2007-08 CTAF in the Southern California Region at San Diego Santa Fe Station.

Cal EMA held the second Prop1B Transit Press Conference at the Golden Gate Bridge. The largest eligible agency in the Bay Area Region is currently the Metropolitan Transportation Commission, which has received most grant funds from total amount of \$21,008,312 that Cal EMA granted to San Diego County.

Cal EMA held the third Prop1B Transit Press Conference at the Los Angeles Union Station. The largest eligible agency in the Los Angeles Area is Los Angeles Metropolitan Transit Authority, which has received almost all grant funds from total amount of \$17,422,516 that Cal EMA granted to Los Angeles County.

### **Waterborne (25%) Press Conference Summary**

Cal EMA held the Prop1B Waterborne Press Conferences for FY 2007-08 RPWT grant funds in amount of \$25,000,000 in San Francisco on December 12, 2008.

### **Heavy Rail (15%) Press Conference Summary**

Cal EMA held the Prop1B Heavy Rail Press Conferences for FY 2007-08 IPCR grant funds in the amount of \$15,000,000 in Northern California Region at the Sacramento Rail Depot on April 29, 2008 and Southern California Region at Glendale Metrolink Station on May 2, 2008.

The eligible agencies for Prop1B Heavy Rail grant funds for FY 2007-2008 IPCR; included Department of Transportation Caltrans Division of Rail in amount of \$2,263,930; and Capitol Corridor Joint Powers Authority in amount of \$1,900,000 at Cal EMA Press Conference in Northern California Region.

The eligible agencies for Prop 1B Heavy Rail grant funds for FY 2007-08 IPCR to Department of Transportation's Caltrans Division of Rail (San Joaquin Corridor/Pacific Surfliner Corridor) in amount of \$1,800,000, and Southern California Regional Rail Authority in amount of \$9,036,070.

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**Programs**

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Transit Security Grant Section is administering three active funding cycles for Prop1B, which includes FY 2007-08 CTAF, FY 2008-09 CTAF, FY 2009-10 CTAF; and also, FY 2010-11 CTAF and FY 2011-12 have pending projects that are awaiting award notification prior to moving forward on projects.

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**California Transit Assistance Fund**

Senate Bill 88 directed State Controller's Office to determine allocations based on pre-existing transit formulas. The drafted guidance had commented on how the regional allocations will be used in order to foster collaboration amongst the various transit agencies within an operational area or mutual aid region.

**FY 2007-08 CTAF**

CTAF grant funds in amount of \$60 million were appropriated in FY 2007-08's budget to enhance safety and security for transit agencies.

**FY 2007-08 CTAF Timeline**

|                                 |  |
|---------------------------------|--|
| January 4, 2008                 | State Controller's Office released allocations |
| February 1, 2008                | Draft Guidelines and Application Kit release   |
| February 20, 2008               | Public Hearing held                            |
| March 13, 2008                  | Final Guidelines and Application Kit released  |
| April 25, 2008 and May 12, 2008 | Project Selection Round I & II issued          |
| June 30, 2008                   | Final Award Letter issued                      |
| March 31, 2012                  | Grantee Performance Period extends             |
| April 30, 2012                  | Closeout reports due                           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 113             | *Ongoing        | \$ 58,278,590    | \$ 58,278,589 | \$ 0           |

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**FY 2008-09 CTAF**

The CTAF grant funds in amount of \$60 million were appropriated in FY 2008-09's budget to enhance safety and security for transit agencies.

**FY 2008-09 CTAF Timeline**

|                   |  |
|-------------------|--|
| September 4, 2008 | State Controller's Office released allocations |
| October 1, 2008   | Draft Guidelines and Application Kit release   |
| October 1, 2008   | Public Hearing held                            |
| October 15, 2008  | Final Guidelines and Application Kit released  |
| March 5, 2009     | Project <i>Eligibility</i> letters issued      |
| April 22, 2010    | Final Award Letter issued                      |
| March 31, 2012    | Grantee Performance Period extends             |
| April 30, 2012    | Closeout reports due                           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 110             | *Ongoing        | \$ 58,313,390    | \$ 58,313,390 | \$ 0           |

**FY 2009-10 CTAF**

The CTAF grant funds in amount of \$60 million were appropriated in FY 2009-10's budget to enhance safety and security for transit agencies.

**FY 2009-10 CTAF Timeline**

|                  |  |
|------------------|--|
| August 21, 2009  | State Controller's Office released allocations |
| November 4, 2009 | Draft Guidelines and Application Kit release   |
| January 14, 2010 | Public Hearing held                            |
| January 25, 2010 | Final Guidelines and Application Kit released  |
| June 7, 2010     | Project <i>Eligibility</i> letters issued      |
| February 8, 2011 | Final Award Letter issued                      |
| March 31, 2013   | Grantee Performance Period extends             |
| April 30, 2013   | Closeout reports due                           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 69              | *Ongoing        | \$ 54,351,983    | \$ 54,351,983 | \$ 0           |

**FY 2010-11 CTAF**

The CTAF grant funds in amount of \$60 million were appropriated in FY 2010-11's budget to enhance safety and security for transit agencies.

**FY 2010-11 CTAF Timeline**

|                   |  |
|-------------------|--|
| October 21, 2010  | State Controller's Office released allocations |
| December 17, 2010 | Draft Guidelines and Application Kit issued    |
| January 21, 2011  | Public Meeting/Application Workshop/Webinar    |
| February 27, 2011 | Final Guidelines and Application Kit issued    |
| March 31, 2011    | Project <i>Eligibility</i> letters issued      |
| March 31, 2014    | Grantee Performance Period ends                |
| April 30, 2014    | Closeout reports due                           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|------------------|-------------------------|-------------|----------------|
| 76              | *Ongoing        | \$ 0             | \$54,693,732            | \$ 0        | \$ 0           |

**FY 2011-12 CTAF**

The CTAF grant funds in amount of \$60 million were appropriated in FY 2011-12’s budget to enhance safety and security for transit agencies. The following summarizes unavailable project funds.

**FY 2011-12 CTAF Timeline**

|                   |  |
|-------------------|--|
| August 3, 2011    | State Controller’s Office released allocations |
| October 12, 2011  | Draft Guidelines and Application Kit issued    |
| November 15, 2011 | Public Meeting/Application Workshop/Webinar    |
| December 20, 2011 | Final Guidelines and Application Kit issued    |
| TBD               | Project <i>Eligibility</i> letters issued      |
| March 31, 2015    | Grantee Performance Period ends                |
| April 30, 2015    | Closeout reports due                           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|------------------|-------------------------|-------------|----------------|
| *Ongoing        | *Ongoing        | \$ 0             | TBD                     | \$ 0        | \$0            |

**Public Regional Waterborne Transit**

Additionally, Senate Bill 976 (Torlakson) created San Francisco Bay Area Water Emergency Transportation Authority; which has authority and control of all public transportation ferries in the Bay Area Region, except those owned and operated by Golden Gate Bridge District. The Waterborne guidance discusses how grant funds will be used in the Bay Area Region by the WETA Board; and the guidance works in partnership with other regional preparedness bodies; such as, Bay Area Super Urban Areas Security Initiative Group; and also, Northern California Area Maritime Security Council. The Waterborne guidance will also assist any opportunity to put forth a dialogue with Golden Gate Bridge District. The SFBA WETA’s awarded projects are now advanced payments through the sale of bonds since Assembly Bill 1203 was enacted on January 1, 2009.

**FY 2007-08 RPWT**

The RPWT grant funds in amount of \$25 million were appropriated in FY 2007-08’s budget to strengthen Regional Water Emergency Transit systems.

**FY 2007-08 RPWT Timeline**

|                           |   |
|---------------------------|---|
| April 4, 2008             | Draft Guidelines and Application Kit release  |
| April 15, 2008            | Public Hearing held                           |
| April 22, 2008            | Final Guidelines and Application Kit released |
| May 4, 2008               | WETA Project Review and Nomination            |
| May 5, 2008               | Project Selection issued                      |
| June 17, 2008             | Final Award Letter issued                     |
| <del>March 31, 2011</del> | <del>Grantee Performance Period ends</del>    |
| September 30, 2012        | Grantee Performance Period (extension) ends   |
| October 31, 2012          | Closeout reports due                          |

**WETA’s Project Review and Nomination of Investment Justifications**

From California’s eligible waterborne agency Cal EMA received five projects totaling \$25 million, which partial funding was paid directly to California Department of Boating and Waterways to secure a lease on South San Francisco Terminal. The following summarizes projects that were awarded for FY 2007-08 RPWT.

| <b>WETA 2007-08 Projects</b>   |                 | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|-----------------|------------------|----------------|----------------|
| San Francisco Ferry Terminal and Vessel Construction (Lease) – CA Boating and Water Ways     |                 | \$ 3,660,000     | \$ 3,660,000   | \$ 0           |
| Preliminary Investigated/Environmental Review – Redwood City, Richmond, Antioch and Martinez |                 | \$ 2,000,000     | \$ 2,000,000   | \$ 0           |
| Final Design – Berkeley and Hercules Terminals   |                 | \$ 3,000,000     | \$ 3,000,000   | \$ 0           |
| Ferry Terminal and Vessel Construction – South San Francisco                                 |                 | \$ 6,340,000     | \$ 6,340,000   | \$ 0           |
| Maintenance Barge, Emergency Floats and Ramps  |                 | \$ 5,000,000     | \$ 5,000,000   | \$ 0           |
| Maintenance Facilities – Vallejo   |                 | \$ 5,000,000     | \$ 5,000,000   | \$ 0           |
| <b>Agencies</b>  | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>    | <b>Balance</b> |
| 2  | 5               | \$ 25,000,000    | \$ 25,000,000  | \$ 0           |

**FY 2008-09 RPWT**

The RPWT grant funds in amount of \$25 million were funded in FY 2008-09's budget to strengthen Regional Water Emergency Transit systems.

**FY 2008-09 RPWT Timeline**

|                                     |   |
|-------------------------------------|---|
| September 4, 2008                   | Draft Guidelines and Application Kit release      |
| October 2, 2008                     | Public Hearing                                    |
| October 16, 2008                    | Final Guidelines and Application Kit released     |
| December 19, 2008                   | WETA Project Review and Nomination                |
| December 20, 2008                   | Project Selection issued                          |
| November 9, 2008                    | Notification of Project <i>Eligibility</i> issued |
| November 12, 2009 and April 5, 2010 | Final Award Letter issued                         |
| March 31, 2012                      | Grantee Performance Period extends                |
| April 30, 2012                      | Closeout reports due                              |

**WETA's Project Review and Nomination of Investment Justifications**

The following summarizes five projects that were awarded for FY 2008-09 RPWT.

| <b>WETA 2008-09 Projects</b>                  | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|---|------------------|----------------|----------------|
| Preliminary Investigated/Environmental Review | \$ 1,250,000     | \$ 1,250,000   | \$ 0           |
| Berthing Facilities – San Francisco           | \$ 4,000,000     | \$ 4,000,000   | \$ 0           |
| Maintenance Facilities                        | \$ 7,000,000     | \$ 7,000,000   | \$ 0           |
| Final Design                                  | \$ 2,500,000     | \$ 2,500,000   | \$ 0           |
| Maintenance Barge, Emergency Floats and Ramps | \$ 10,250,000    | \$ 10,250,000  | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 1               | 5               | \$ 25,000,000    | \$ 25,000,000 | \$ 0           |

**FY 2009-10 RPWT**

The RPWT grant funds in amount of \$25 million were funded in FY 2009-10's budget to strengthen Regional Water Emergency Transit systems.

**FY 2009-10 RPWT Timeline**

|   |   |
|---|---|
| December 17, 2009                       | Draft Guidelines and Application Kit release  |
| February 1, 2010                        | Public Hearing                                |
| February 4, 2010                        | Final Guidelines and Application Kit released |
| March 4, 2010                           | WETA Project Review and Nomination            |
| March 18, 2010                          | Project Selection issued                      |
| May 10, 2010                            | Notification of Project Eligibility Issued    |
| November 03, 2010 and February 02, 2011 | Final Award Letters issued                    |
| March 31, 2013                          | Grantee Performance Period extends            |
| April 30, 2013                          | Closeout reports due                          |

**WETA's Project Review and Nomination of Investment Justifications**

The following summarizes three projects that were awarded for FY 2009-10 RPWT.

| <b>WETA 2009-10 Projects</b>   | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|------------------|----------------|----------------|
| Construction of Terminal Facilities and Vessels for the Berkeley Ferry Service | \$ 5,000,000     | \$ 5,000,000   | \$ 0           |
| Operations and Maintenance Facilities  | \$ 15,000,000    | \$ 15,000,000  | \$ 0           |
| San Francisco Berthing Facility  | \$ 5,000,000     | \$ 5,000,000   | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 1               | 3               | \$ 25,000,000    | \$ 25,000,000 | \$ 0           |



**FY 2010-11 RPWT**

The RPWT grant funds in amount of \$25 million were funded in FY 2010-11's budget to strengthen Regional Water Emergency Transit systems.

**FY 2010-11 RPWT Timeline**

|                   |  |
|-------------------|--|
| December 17, 2010 | Draft Guidelines and Application Kit release                     |
| January 13, 2011  | Public Hearing   |
| February 2, 2011  | Final Guidelines and Application Kit Issued                      |
| March 7, 2011     | WETA Project Review and Nomination                               |
| March 21, 2011    | Project Selection and Notification of Project Eligibility issued |
| March 31, 2013    | Grantee Performance Period extends                               |
| April 30, 2013    | Closeout reports due   |

**WETA's Project Review and Nomination of Investment Justifications**

The following summarizes three projects that were selected for FY 2010-11 RPWT.

| <b>WETA 2010-11 Projects</b>  | <b>Eligible Funding</b> | <b>Payment</b> | <b>Balance</b> |
|---|-------------------------|----------------|----------------|
| <i>Construction of Terminal Facilities and Vessels for the Berkeley Ferry Service</i> | \$ 5,000,000            | \$ 0           | \$ 0           |
| <i>San Francisco Berthing Facilities</i>  | \$ 8,000,000            | \$ 0           | \$ 0           |
| <i>Operations and Maintenance Facilities</i>  | \$ 12,000,000           | \$ 0           | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|------------------|-------------------------|-------------|----------------|
| 1               | 3               | \$ 0             | \$ 25,000,000           | \$ 0        | \$ 0           |

**FY 2011-12 RPWT**

The RPWT grant funds in amount of \$25 million were funded in FY 2011-12's budget to strengthen Regional Water Emergency Transit systems.

**FY 2011-12 RPWT Timeline**

|                   |  |
|-------------------|--|
| October 13, 2011  | Draft Guidelines and Application Kit release                     |
| November 29, 2011 | Public Hearing   |
| December 20, 2011 | Final Guidelines and Application Kit Issued                      |
| February 29, 2012 | WETA Project Review and Nomination                               |
| TBD               | Project Selection and Notification of Project Eligibility issued |
| March 31, 2014    | Grantee Performance Period ends                                  |
| April 30, 2014    | Closeout reports due   |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|------------------|-------------------------|-------------|----------------|
| 1               | *Ongoing        | \$ 0             | \$ 25,000,000           | \$ 0        | \$ 0           |

**Intercity Passenger Rail/Commuter Rail Systems**

The eligible recipients for these funds are set forth in Section 14035 and the commuter rail systems operated by the entities specified in Section 14072 and in Section 99314.1 of the Public Utilities Code.

**FY 2007-08 IPCR**

IPCR grant funds in amount of \$15 million were appropriated in FY 2007-08’s budget to enhance heavy rail security. The three eligible agencies for grant funding in regards to the Proposition 1B Heavy Rail program are Department of Transportation – Caltrans Division of Rail, Capitol Corridor Joint Powers Authority, and Southern California Regional Rail Authority.

**FY 2007-08 IPCR Timeline**

|                   |   |
|-------------------|---|
| December 13, 2007 | Draft Guidelines and Application Kit released   |
| December 14, 2007 | Public Hearing held                             |
| February 05, 2008 | Final Guidelines and Application Kit released   |
| February 26, 2008 | Peer Review Panel meeting held                  |
| March 07, 2008    | Project Selection and Final Award Letter issued |
| March 31, 2012    | Grantee Performance Period (extension) ends     |
| April 30, 2012    | Closeout reports due                            |

**IPCR Peer Review Panel - Investment Justifications**

From California’s three eligible agencies we received eighteen projects totaling \$15 million. The following summarizes projects that were reviewed and approved for funding.

| <b><u>Department of Transportation - Caltrans<br/>Division of Rail</u></b> | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|------------------|----------------|----------------|
| Forward Facing Cameras   | \$ 450,000       | \$ 450,000     | \$ 0           |
| Oakland Maintenance Facility   | \$ 149,745       | \$ 149,745     | \$ 0           |
| Passenger Information Display Signs  | \$ 451,826       | \$ 451,826     | \$ 0           |
| Lighting Improvements for Platform Park                                    | \$ 70,000        | \$ 70,000      | \$ 0           |
| Public Announcement and Emergency  | \$ 273,108       | \$ 273,108     | \$ 0           |
| Security Enclosure for Equipment   | \$ 28,000        | \$ 28,000      | \$ 0           |
| Security System with Panic Alarm   | \$ 59,567        | \$ 59,567      | \$ 0           |
| TV & Video Operational Areas   | \$ 1,286,000     | \$ 1,286,000   | \$ 0           |
| Modesto Station Security Fencing   | \$ 110,000       | \$ 110,000     | \$ 0           |
| Security Enhancements to Cameras   | \$ 200,900       | \$ 200,900     | \$ 0           |
| Security Cameras   | \$ 899,000       | \$ 899,000     | \$ 0           |

| <b><u>Capitol Corridor Joint Power Authority</u></b>                | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|---|------------------|----------------|----------------|
| Capitol Corridor Automated Ticket Validation Project                | \$ 600,000       | \$ 600,000     | \$ 0           |
| Station Improvements  | \$ 400,000       | \$ 400,000     | \$ 0           |
| Passenger Information Display Signs Maintenance and Upgrade Project | \$ 150,000       | \$ 150,000     | \$ 0           |
| CCJPA Onboard Passenger Information System Pilot Program - Phase 1  | \$ 750,000       | \$ 750,000     | \$ 0           |

| <b><u>Southern California Regional Rail Authority</u></b>   | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|---|------------------|----------------|----------------|
| Fiber Optic Backbone on the River Subdivision West Bank CP Mission to CP Soto and Mission to CMF                    | \$ 2,267,000     | \$ 4,167,000   | \$ 0           |
| Forward Facing Cameras on 38 Locomotives  | \$ 380,000       | \$ 380,000     | \$ 0           |
| Swing Gates and Fencing on the Olive Subdivision and on the Orange County, Ventura County and Antelope Valley Lines | \$ 1,489,070     | \$ 1,489,070   | \$ 0           |
| Tunnel 25 Intrusion Detection   | \$ 4,900,000     | \$ 3,000,000   | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|---------------|----------------|
| 3               | 19              | \$ 15,000,000    | \$ 15,000,000 | \$ 0           |

**FY 2008-09 IPCR**

IPCR grant funds in amount of \$15 million were appropriated in FY 2008-09's budget to enhance heavy rail security. The four eligible agencies for grant funding in regards to the Proposition 1B Heavy Rail program are Altamonte Commuter Rail/San Joaquin Regional Rail Commission, Department of Transportation – Caltrans Division of Rail, Capitol Corridor Joint Powers Authority, and Southern California Regional Rail Authority.

**FY 2008-09 IPCR Timeline**

|                   |   |
|-------------------|---|
| September 4, 2008 | Draft Guidelines and Application Kit released   |
| October 3, 2008   | Public Hearing held                             |
| October 15, 2008  | Final Guidelines and Application Kit released   |
| November 21, 2008 | Peer Review Panel meeting held                  |
| December 5, 2008  | Project Selection issued and Final Award Letter |
| March 31, 2012    | Grantee Performance Period extends              |
| April 30, 2012    | Closeout reports due                            |

**IPCR Peer Review Panel - Investment Justifications**

From California’s four eligible agencies we received twenty-four projects totaling \$15 million. The following summarizes the projects that were reviewed and approved for funding.

| <b><u>Department of Transportation - Caltrans</u></b> |                         |                       |                       |
|---|-------------------------|-----------------------|-----------------------|
| <b><u>Division of Rail</u></b>                        | <b><u>Obligated</u></b> | <b><u>Payment</u></b> | <b><u>Balance</u></b> |
| Ticket Security Validation Scanner                    | \$ 150,000              | \$ 150,000            | \$ 0                  |
| Cameras Enhancements                                  | \$ 226,307              | \$ 226,307            | \$ 0                  |
| On-Train Information Systems                          | \$ 1,800,000            | \$ 1,800,000          | \$ 0                  |
| Customer Information System                           | \$ 957,102              | \$ 957,102            | \$ 0                  |
| Television and Video Security System Improvements     | \$ 930,522              | \$ 930,522            | \$ 0                  |

| <b><u>Capitol Corridor Joint Power Authority</u></b>   | <b><u>Obligated</u></b> | <b><u>Payment</u></b> | <b><u>Balance</u></b> |
|--|-------------------------|-----------------------|-----------------------|
| Installation of access control devices and signage along right of way and at Capitol Corridor Stations | \$ 300,000              | \$ 300,000            | \$ 0                  |
| Capitol Corridor Automated Ticket Validation Project   | \$ 150,000              | \$ 150,000            | \$ 0                  |
| Oakland Maintenance Facility Safety and Security Improvements - Phase 2                                | \$ 100,000              | \$ 100,000            | \$ 0                  |
| Security Camera Installation at Capitol Corridor Stations  | \$ 650,000              | \$ 650,000            | \$ 0                  |
| Capitol Corridor Security Operations Command Center  | \$ 500,000              | \$ 500,000            | \$ 0                  |
| Wireless Network Development Plan for Capitol Corridor Intercity Passenger Rail Service                | \$ 200,000              | \$ 200,000            | \$ 0                  |

| <b><u>Southern California Regional Rail Authority</u></b>  | <b><u>Obligated</u></b> | <b><u>Payment</u></b> | <b><u>Balance</u></b> |
|--|-------------------------|-----------------------|-----------------------|
| Inward Facing Cameras in 52 Locomotives and 57 New Cab Cars  | \$ 402,000              | \$ 402,000            | \$ 0                  |
| Software/Hardware for New Positive Train Control Compatible Dispatch and System and PTC Program Development System and PTC Program Development | \$ 6,000,000            | \$ 6,000,000          | \$ 0                  |
| Safety Retrofits to Metrolink Rolling Stock  | \$ 830,000              | \$ 830,000            | \$ 0                  |
| Wayside Signal Relocation/Reconfiguration at Selected Locations  | \$ 982,070              | \$ 982,070            | \$ 0                  |

| <b><u>Altamonte Commuter Rail/San Joaquin Regional Rail Commission</u></b> |                 | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|-----------------|------------------|----------------|----------------|
| Camera Surveillance Equipment  |                 | \$500,380        | \$600,000      | \$0            |
| Interoperable Communications Equipment                                     |                 | \$200,000        | \$200,000      | \$0            |
| Radiation Detection Equipment - Personal Radiation Detectors               |                 | \$21,620         | \$22,000       | \$0            |
| Security Cameras   |                 | \$100,000        | \$200,000      | \$0            |
| <b>Agencies</b>  | <b>Projects</b> | <b>Obligated</b> | <b>Paid</b>    | <b>Balance</b> |
| 4  | 19              | \$ 15,000,000    | \$ 15,000,000  | \$ 0           |

**FY 2009-10 IPCR**

IPCR grant funds in amount of \$15 million were appropriated in FY 2009-10's budget to enhance heavy rail security. The four eligible agencies for grant funding in regards to the Proposition 1B Heavy Rail program are Altamonte Commuter Rail/San Joaquin Regional Rail Commission, Department of Transportation – Caltrans Division of Rail, Capitol Corridor Joint Powers Authority, and Southern California Regional Rail Authority.

**FY 2009-10 IPCR Timeline**

|                   |   |
|-------------------|---|
| December 17, 2009 | Draft Guidelines and Application Kit released |
| January 07, 2010  | Public Hearing held                           |
| January 21, 2010  | Final Guidelines and Application Kit released |
| March 11, 2010    | Peer Review Panel meeting held                |
| March 25, 2010    | Project Selection issued                      |
| March 31, 2013    | Grantee Performance Period extends            |
| April 30, 2013    | Closeout reports due                          |

**IPCR Peer Review Panel - Investment Justifications**

From California's four eligible agencies we received twelve projects totaling \$15 million. The following summarizes the projects that were reviewed and approved for funding.

| <b><u>Department of Transportation - Caltrans Division of Rail</u></b>       | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|------------------|----------------|----------------|
| San Joaquin Corridor Positive Train Control from Bakersfield to Port Chicago | \$ 2,100,000     | \$ 2,100,000   | \$ 0           |

| <b><u>Capitol Corridor Joint Power Authority</u></b>  | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|---|------------------|----------------|----------------|
| Capitol Corridor Automated Ticket Validation Project and Integration with Amtrak E-Ticketing Initiative | \$ 350,000       | \$ 350,000     | \$ 0           |
| Installation of Fencing and Signage along Right of Way and Capitol Corridor Stations, Phase II          | \$ 563,781       | \$ 563,781     | \$ 0           |
| Security Camera Installation at Capitol Corridor Stations   | \$ 350,000       | \$ 350,000     | \$ 0           |
| Wireless Network Development for Capitol Corridor Intercity Passenger Rail Service                      | \$ 800,000       | \$ 800,000     | \$ 0           |

| <b><u>Southern California Regional Rail Authority</u></b>   | <b>Obligated</b>        | <b>Payment</b> | <b>Balance</b> |
|---|-------------------------|----------------|----------------|
| Software and Hardware for New Positive Train Control Compatible Dispatch System and Program Development | \$ 3,356,923            | \$ 3,356,923   | \$ 0           |
| Sealed Corridor   | \$ 357,030              | \$ 357,030     | \$ 0           |
| Safety Retrofits of Metrolink Rolling Stock   | \$ 100,000              | \$ 100,000     | \$ 0           |
| Positive Train Control from Los Angeles to Fullerton  | \$ 1,800,000            | \$ 1,800,000   | \$ 0           |
| Purchase 20 Additional C.E.M. Style Trailer Cars from H-Rotem   | \$ 900,000              | \$ 900,000     | \$ 0           |
| <b>Southern California Regional Rail Authority</b>  | <b>Eligible Funding</b> | <b>Payment</b> | <b>Balance</b> |
| <i>Sealed Corridor</i>  | \$ 1,642,970            | \$ 0           | \$ 0           |

| <b><u>Altamonte Commuter Rail/San Joaquin Regional Rail Commission</u></b> | <b>Obligated</b> | <b>Payment</b> | <b>Balance</b> |
|--|------------------|----------------|----------------|
| Track Extension  | \$ 2,679,296     | \$ 2,679,296   | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Obligated</b> | <b>Eligible Funding</b> | <b>Paid</b>   | <b>Balance</b> |
|-----------------|-----------------|------------------|-------------------------|---------------|----------------|
| 4               | 11              | \$ 13,357,030    | \$ 1,642,970            | \$ 13,357,030 | \$ 0           |

### **FY 2010-11 IPCR**

IPCR grant funds in amount of \$15 million were appropriated in FY 2010-11's budget to enhance heavy rail security. The four eligible agencies for grant funding in regards to the Proposition 1B Heavy Rail program are Altamonte Commuter Rail/San Joaquin Regional Rail Commission, Department of Transportation – Caltrans Division of Rail, Capitol Corridor Joint Powers Authority, and Southern California Regional Rail Authority.

### **FY 2010-11 IPCR Timeline**

|                   |   |
|-------------------|---|
| December 17, 2010 | Draft Guidelines and Application Kit released |
| January 18, 2011  | Public Hearing held                           |
| February 2, 2011  | Final Guidelines and Application Kit released |
| March 8, 2011     | Peer Review Panel meeting held                |
| March 8, 2011     | Project Selection issued                      |
| March 31, 2014    | Grantee Performance Period extends            |
| April 30, 2014    | Closeout reports due                          |

**IPCR Peer Review Panel - Investment Justifications**

From California’s four eligible agencies we received seven projects totaling \$15 million. The following summarizes the projects that were reviewed and approved.

| <b><u>Department of Transportation - Caltrans<br/>Division of Rail</u></b>   | <b>Eligible<br/>Funding</b> | <b>Payment</b> | <b>Balance</b> |
|--|-----------------------------|----------------|----------------|
| San Joaquin Corridor Positive Train Control from Bakersfield to Port Chicago | \$ 2,141,735                | \$ 0           | \$ 0           |
| Pacific Surfliner Corridor Positive Train Control from Moorpark to San Diego | \$ 1,887,625                | \$ 0           | \$ 0           |

| <b><u>Capitol Corridor Joint Power Authority</u></b>  | <b>Eligible<br/>Funding</b> | <b>Payment</b> | <b>Balance</b> |
|---|-----------------------------|----------------|----------------|
| Installation of Fencing and Signage along Right of Way and Capitol Corridor Stations, Phase III | \$ 234,422                  | \$ 0           | \$ 0           |
| Northern California Security Operations Command Center  | \$ 100,000                  | \$ 0           | \$ 0           |
| Onboard Communication Safety and Operations Control   | \$ 1,600,000                | \$ 0           | \$ 0           |

| <b><u>Southern California Regional Rail Authority</u></b>                      | <b>Eligible<br/>Funding</b> | <b>Payment</b> | <b>Balance</b> |
|--|-----------------------------|----------------|----------------|
| Purchase 20 additional Crash Energy Management Style Trailer Cars from H-Rotem | \$ 7,869,070                | \$ 0           | \$ 0           |

| <b><u>Altamonte Commuter Rail/San Joaquin<br/>Regional Rail Commission</u></b> | <b>Eligible<br/>Funding</b> | <b>Payment</b> | <b>Balance</b> |
|--|-----------------------------|----------------|----------------|
| Camera Surveillance Equipment  | \$ 1,000,000                | \$ 0           | \$ 0           |
| Hardware and Dispatch Upgrade for New Altamont Corridor Positive Train Control | \$ 167,148                  | \$ 0           | \$ 0           |

| <b>Agencies</b> | <b>Projects</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|-------------------------|-------------|----------------|
| 4               | 8               | \$ 15,000,000           | \$ 0        | \$ 0           |

**FY 2011-12 IPCR**

IPCR grant funds in amount of \$15 million were appropriated in FY 2011-12's budget to enhance heavy rail security. The four eligible agencies for grant funding in regards to the Proposition 1B Heavy Rail program are Altamonte Commuter Rail/San Joaquin Regional Rail Commission, Department of Transportation – Caltrans Division of Rail, Capitol Corridor Joint Powers Authority, and Southern California Regional Rail Authority. The following summarizes as unavailable project funds.

**FY 2011-12 IPCR Timeline**

|                   |   |
|-------------------|---|
| October 13, 2011  | Draft Guidelines and Application Kit released |
| November 14, 2011 | Public Hearing held                           |
| December 20, 2011 | Final Guidelines and Application Kit released |
| March 8, 2012     | Peer Review Panel meeting held                |
| TBD               | Project Selection issued                      |
| TBD               | Notification of Project Eligibility           |
| March 31, 2015    | Grantee Performance Period extends            |
| April 30, 2015    | Closeout reports due                          |

| <b>Agencies</b> | <b>Projects</b> | <b>Eligible Funding</b> | <b>Paid</b> | <b>Balance</b> |
|-----------------|-----------------|-------------------------|-------------|----------------|
| 4               | *Ongoing        | \$ 15,000,000           | \$ 0        | \$ 0           |

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**Workshops/Webinar**

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**Prop1B Workshops (Spring 2008)**

FY 2007-08 CTSGP

- March 26, 2008  
California Department of Transportation, Sacramento
- March 27, 2008  
Los Angeles Union Station
- March 28, 2008  
Elihu Harris State Office Building, Oakland

**Prop1B Workshops (Winter 2008)**

FY 2008-09 CTSGP

- December 2, 2008  
Orange Terrace Community Center, Riverside
- December 3, 2008  
California Department of Transportation, Sacramento
- December 4, 2008  
Alameda County Office of Emergency Services

**Prop1B Workshops/Webinar (Spring 2011)**

FY 2010-11 CTSGP

- January 28, 2011

**Prop1B Workshops/Webinar (Spring 2011)**

FY 2011-12 CTSGP

- November 15, 2011



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## Performance Reports and Project Status Reports

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### **Prop1B Performance Reports**

#### FY 2007-08 CTSGP

- December 31, 2008
- June 30, 2009
- April 30, 2010
- October 31, 2010
- April 30, 2011
- October 31, 2011
- April 30, 2012

#### FY 2008-09 CTSGP

- October 31, 2010
- April 30, 2011
- October 31, 2011
- April 30, 2012

#### FY 2009-10 CTSGP

- April 30, 2011
- October 31, 2011
- April 30, 2012

### **Bond Accountability and Project Status Reports**

#### FY 2007-08; FY 2008-09; FY 2009-10

- May 31, 2010
- November 30, 2010
- May 31, 2011
- December 31, 2011

### **Prop1B Annual Reports**

#### FY 2007-08, FY 2008-09; FY 2009-10

- December 31, 2008
- December 31, 2009
- December 31, 2010
- December 31, 2011

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**Site Visits**

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**Prop 1B Site Visits**

| AGENCY NAME  | DATE SITE VISIT CONDUCTED |
|--|---------------------------|
| Lodi, City of  | 9/18/2008                 |
| Paratransit Inc  | 9/30/2008                 |
| Los Angeles County Metropolitan Transportation Authority | 10/30/2008                |
| San Francisco Bay Area Rapid Transit District            | 11/12/2008                |
| San Diego Metropolitan Transportation Authority          | 11/18/2008                |
| Golden Gate Bridge, Highway and Transportation District  | 11/20/2008                |
| Modesto, City of   | 11/21/2008                |
| Turlock, City of   | 11/21/2008                |
| Manteca, City of   | 11/24/2008                |
| San Joaquin Regional Transit District                    | 11/24/2008                |
| San Joaquin Regional Rail Commission                     | 8/19/2009                 |
| Santa Clara County Transit District                      | 2/26/2010                 |
| Yolo County Transportation District                      | 6/9/2010                  |
| Alameda Contra Costa Transit District                    | 6/17/2010                 |
| El Dorado Transit Authority                              | 6/25/2010                 |
| Central Contra Costa Transit Authority                   | 7/22/2010                 |
| San Mateo County Transit District                        | 7/29/2010                 |
| Peninsula Corridor Joint Powers Board                    | 7/29/2010                 |
| Placer County  | 9/14/2010                 |
| Lincoln, City of   | 9/14/2010                 |
| Placer County (Department of Public Works)               | 11/1/2010                 |
| Placer County (Department of Public Works)               | 11/1/2010                 |
| Roseville, City of                                       | 11/1/2010                 |
| Orange County Transportation Authority                   | 12/9/2010                 |
| Orange County Sheriff's Department                       | 12/9/2010                 |
| Sacramento Regional Transit District                     | 1/11/2011                 |
| Yuba-Sutter Transit Authority                            | 2/2/2011                  |
| CA Dept. of Transportation                               | 2/15/2011                 |
| Butte County Association of Governments                  | 2/18/2011                 |
| Glenn County Transportation Commission                   | 2/18/2011                 |
| Auburn, City of  | 2/22/2011                 |
| Elk Grove, City of                                       | 2/23/2011                 |
| Folsom, City of  | 2/28/2011                 |
| Nevada County  | 2/28/2011                 |

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**Site Visits**

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**Prop 1B Site Visits**

| AGENCY NAME   | DATE SITE VISIT CONDUCTED |
|---|---------------------------|
| Madera, City of   | 3/8/2011                  |
| Chowchilla, City Of                                     | 3/8/2011                  |
| Turlock, City of  | 3/9/2011                  |
| Merced County Association of Governments                | 3/9/2011                  |
| Fairfield, City of                                      | 3/9/2011                  |
| Lake Transit Authority                                  | 3/9/2011                  |
| Mendocino Transit Authority                             | 3/9/2011                  |
| Vallejo, City of (Transportation Program)               | 3/14/2011                 |
| Santa Rosa, City of                                     | 3/14/2011                 |
| Monterey-Salinas Transit                                | 4/5/2011                  |
| Santa Cruz Metropolitan Transit District                | 4/6/2011                  |
| San Benito County Local Transportation Authority        | 4/6/2011                  |
| Golden Gate Bridge, Highway and Transportation District | 4/13/2011                 |
| Fresno, City of   | 4/26/2011                 |
| Tulare County   | 4/27/2011                 |
| Napa County Transportation and Planning Agency          | 4/28/2011                 |
| Sonoma County   | 4/28/2011                 |
| County Siskiyou Transit                                 | 5/18/2011                 |
| City of South Lake Tahoe                                | 6/13/2011                 |
| Western Contra Costa                                    | 7/13/2011                 |
| Central Contra Costa                                    | 7/13/2011                 |
| City of Fairfield                                       | 7/13/2011                 |
| Sierra County   | 7/27/2011                 |
| City of Fairfield                                       | 7/28/2011                 |
| Livermore-Amador Valley Transit Authority               | 8/4/2011                  |
| Riverbank Oakdale Transit                               | 8/4/2011                  |
| Stanislaus County Transit                               | 8/4/2011                  |
| City of Ripon   | 9/29/2011                 |
| City of Escalon   | 9/29/2011                 |
| Tuolumne County Transportation Commission               | 10/6/2011                 |

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**Site Visits**

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**Prop 1B Site Visits**

| AGENCY NAME  | DATE SITE VISIT CONDUCTED |
|--|---------------------------|
| San Francisco Water Emergency Transportation Authority | 10/12/2011                |
| City of Oxnard   | 10/17/2011                |
| City of Ventura  | 10/17/2011                |
| City Moorpark  | 10/18/2011                |
| City of San Buenaventura                               | 10/18/2011                |
| Altamont Commuter Express                              | 10/26/2011                |
| City of Santa Maria                                    | 11/8/2011                 |
| City of Santa Barbara                                  | 11/9/2011                 |
| Mountain Area Transportation Authority                 | 11/29/2011                |
| City of Barstow  | 11/30/2011                |
| Victor Valley Transit Service                          | 11/30/2011                |
| City of Needles  | 12/1/2011                 |
| Palo Verde Valley Transit                              | 12/1/2011                 |
| City of Long Beach                                     | 12/14/2011                |
| City of Commerce                                       | 12/14/2011                |
| Culver City  | 12/15/2011                |
| City of Gardena  | 12/15/2011                |
| SunLine Transit  | 2/14/2012                 |
| City of Vallejo  | 2/21/2012                 |
| Antelope Valley Transit                                | 2/22/2012                 |
| City of San Luis Obispo                                | 2/22/2012                 |
| San Luis Obispo Council of Governments                 | 2/23/2012                 |
| San Luis Obispo Regional Transportation Authority      | 2/23/2012                 |
| Southern California Regional Rail Authority            | 2/27/2012                 |